

Office Memorandum • UNITED STATES GOVERNMENT

TO : DIRECTOR, FBI

FROM : SAC, LOS ANGELES

SUBJECT: MARTIN J. SUMMERFIELD wa.
ESPIONAGE - R
FRAUD AGAINST THE GOVERNMENT
(Bufile 65-48451)

DATE: June 20, 1951

Remylet dated June 9, 1951.

Since the date of referenced letter, investigation has been conducted at the Jet Propulsion Laboratory, California Institute of Technology, Pasadena, California, and the following information was ascertained:

According to files maintained for the subject by [redacted] the subject completed Personnel Security Questionnaire dated September 10, 1948.

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A review of this file indicated that on September 9, 1948 WALTER J. MURPHY, then personnel administrator of the Laboratory, received a call from [redacted] of the Pasadena Office of the Los Angeles Ordnance District of the War Department, with reference to clearance for the subject. At the time of this call [redacted] advised that he was in receipt of information from his headquarters in Washington, D.C. to the effect that information had been received from the Atomic Energy Commission that in an initial check in connection with the PSQ being processed for AEC, it had been learned that there was derogatory information concerning the subject. On receipt of this information [redacted] headquarters requested that he contact the Jet Propulsion Laboratory and arrange for the obtaining of a new PSQ form for the subject in order that it might be immediately processed to establish the subject's level of clearance. It was noted at that time no actual clearance for the subject had been issued since September 4, 1944.

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At the time [redacted] made this call to MURPHY he additionally requested that the subject be denied access to secret and top secret information.

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ACW:PJS
ENCS (2)
cc: Newark (Enc.)
San Francisco (Enc.)
65-4852

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/2/01 BY SP3BJT/JG
933351

RECORDED - 61

INDEXED - 61

EX-33

65-58451-44

Label
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LA 65-4852

In compliance with [redacted] request MURPHY obtained a signed PSQ which he transmitted to [redacted] by letter dated September 13, 1948.

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By letter dated January 17, 1949 [redacted] of the Headquarters of the Sixth Army Presidio at San Francisco, California, advised that the subject was cleared for access to top secret or secret information. Photostats of this exchange in correspondence referred to hereinbefore, together with the PSQ completed by the subject in his own handwriting, and the signed carbon copy of the typed copy made therefrom, are being forwarded within as enclosures to the Bureau, San Francisco and Newark.

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The Bureau's attention is directed to the fact that the PSQ referred to hereinbefore dated September 10, 1948 is the type known as WD 19105. This particular PSQ was the type then in force and was normally used in clearance matters. This, as a matter of actual fact, is the same type of PSQ which was used in the prosecution of SIDNEY WEINBAUM in his trial of September, 1950. The count of Fraud Against the Government charged against WEINBAUM at that time was based on the same type of PSQ, it being noted that WEINBAUM had neglected to include thereon that he had been in the past a member of the Communist Party. Your attention is directed to the fact, however, that at the time of the WEINBAUM trial his counsel did not attack the document as such in that he employed what might be termed a "straight defense" for his client.

Copies of this letter are being designated to the San Francisco Office with the request that the following investigation be conducted at the Headquarters of Sixth Army at the Presidio in San Francisco, California.

For the benefit of the San Francisco Office the following background is being given:

The subject of this case until approximately two years ago was the Assistant Administrator of the Jet Propulsion Laboratory of the California Institute of Technology at Pasadena, California. In connection with his employment there, as has been indicated in the foregoing and is perhaps better explained by photostats of exchange of correspondence forwarded as enclosures, he signed a PSQ and under Item 31 thereof failed to include that he had been a member of the Communist Party.

Considerable investigation has been conducted seeking to establish Party membership on the part of the subject with the result that it has been pretty well established that the subject, using the name of FRED KANE, was a member of Professional Unit Number 122 of the Communist Party

LA 65-4852

during the years 1938 and 1939 at Pasadena, California.

By letter dated May 11, 1951 the Bureau requested the Newark Office, the office of origin in this case, to explore the possibility of obtaining a PSQ signed by the subject in connection with his employment at Princeton University on which he failed to include his previous membership in the Communist Party. This letter further called attention to the fact that if competent evidence could be obtained to establish the subject's Communist Party membership, his failure to list such on the PSQ might possibly constitute Fraud Against the Government. It was the Bureau's desire to present the facts of this case to the Department for prosecutive opinion.

The San Francisco Office will note from the attached photostats that there is probably a file concerning the subject at the Headquarters of Sixth Army at the Presidio. It is particularly requested that the original typed copy of the PSQ, referred to herein, be located. At the time this document is located it should be ascertained as to who would be the competent custodial officer to introduce this document into evidence should prosecution against the subject proceed.

It is likewise requested that a thorough review be made of the file at Sixth Army to determine if there is any other matter contained therein which is believed would be pertinent in this investigation. According to the best information obtainable locally, the subject at the time he was denied access to classified matter on September 9, 1948 did not initiate any appeal on his behalf but simply continued his employment in his restricted capacity until investigation conducted by the Army had been completed. This was apparently completed in January of 1949 as indicated by the letter of consent issued by Sixth Army, January 17, 1949.

The San Francisco Office's attention is directed to the fact that investigation requested herein should be expedited because in the event that no later PSQ signed by the subject is located by the Newark Office, the statute of limitations in any possible action against the subject based on this PSQ will have only several months to run.

TO: BUREAU

RE: MARTIN J. SUMMERFIELD wa.
ESP-R

Photostats of letter from JAMES C. ADAMS, Sixth Army
to WALTER J. MURPHY, Cal-Tech
PSQ signed by subj and signed carbon copy of typed copy

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED ON THIS ENVELOPE
DATE 3/21/01 BY SP3BTJ/JG
#933351

COMMUNICATIONS SECTION, DEPARTMENT OF WAR, AND ADDRESSED TO

2) Record

WAR DEPARTMENT

mb

LAD 680.2/ 513

WASHINGTON, D. C.
1400 NORTH BRADLEY AVENUE
WASHINGTON, D. C.

Security Branch

9 September 1948

California Institute of Technology
Jet Propulsion Laboratory
4800 Oak Grove Drive
Pasadena 2, California

Attn: Mr. V. C. Larsen, Jr.,
Laboratory Administrator

Gentlemen:

Subject: Clearance - Martin Summerfield.

Confirming telephone conversation with Mr. Walter J. Murphy regarding the clearance status of Martin Summerfield, it will be necessary to submit WD AGO Form 19-105 in quadruplicate to this office for further investigation.

Mr. Summerfield is not to have access to Secret and /or Top Secret material until this investigation has been completed.

Yours very truly,

Assistant

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~~CONFIDENTIAL~~

CALIFORNIA INSTITUTE OF TECHNOLOGY
4800 OAK GROVE DRIVE
PASADENA 2, CALIFORNIA

JET PROPULSION LABORATORY

September 13, 1948

Los Angeles Ordnance District Office
35 North Raymond Avenue
Pasadena, California

Attention: Mr.

Gentlemen:

Subject: Clearance - Martin Summerfield

In compliance with your request of September 9, we are submitting completed Personnel Security Questionnaire Forms in quadruplicate for Martin Summerfield. It is understood that these forms will be processed immediately for further investigation in connection with Dr. Summerfield's clearance status.

In further compliance with your request, Dr. Summerfield has been denied access to Secret and/or Top Secret material until this investigation has been completed. In this connection it should be noted that Dr. Summerfield was cleared for access to Secret Material by letter of consent issued by Virgil H. Massey, Captain, Headquarters Southern Security District, Ninth Service Command, on 4 September, 1944.

As you know, Dr. Summerfield is Chief of our Rockets and Materials Division in charge of a number of very important research and development programs. It is therefore requested that you do everything possible to expedite completion of the additional investigation.

Very truly yours,

JET PROPULSION LABORATORY

W. J. Murphy
Personnel Administrator

WJM:smm

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HEADQUARTERS SIXTH ARMY
PRESIDIO OF SAN FRANCISCO, CALIFORNIA

In reply refer to
ANGBI-CI (2-PBI-21732)

14 JAN 1949

California Institute of Technology
4800 Oak Grove Drive
Pasadena, California

Gentlemen:

Reference is made to the Personnel Security Questionnaire recently submitted by your company in the case of the citizen whose name appears herein.

In conformance with paragraph 2 of the Secrecy Agreement set forth in paragraph 67 of AR 380-5 and Article 16-19 (b) of the Security Manual for Classified Material of the Department of the Navy, consent is hereby GRANTED for employment on or access to top secret and secret contracts and information of the Departments of the Army, the Navy and the Air Force in the case of the following citizen. This grant of consent is subject to the conditions and requirements set forth on the attached page.

SUMMERFIELD, Martin

By direction of the Secretaries of the Army, the Navy and the Air Force:

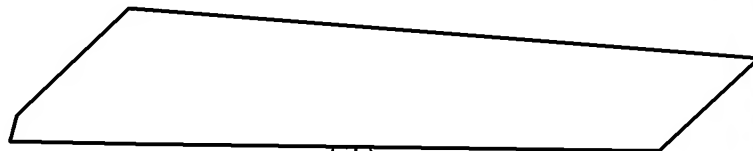
E.P.PARKER, Jr.
Major General, USA

THOMAS B. INGLIS
Rear Admiral, USN

J.V.DILLON
Colonel, USAF
The Air Provost Marshal

FOR THE COMMANDING GENERAL:

1 Incl
Conditions & Requirements



SEAL

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CONDITIONS AND REQUIREMENTS

1. Consent granted for the employment of an individual is your authorization to utilize the service of the individual on aeronautical and classified (top secret, secret, confidential or restricted, as indicated in each consent letter), Army, Navy and Air Force contracts being performed by you within the geographical limits or under the jurisdiction of the Army Area of Air Materiel Command (hereinafter referred to as Command) from which you received the consent.
2. Before you may employ an individual on contracts of the type described above, within the geographical limits or under the jurisdiction of another command, consent must be obtained by request submitted to the command in which or under the jurisdiction of which the individual is to be employed. The request must be accompanied by a photostatic or certified copy of the original letter of consent and a new Alien Questionnaire or Personnel Security Questionnaire, whichever is applicable.
3. If information comes to your attention which indicates that your employment of the individual is, or may be, inimical to the interests of the United States, you are required promptly to make complete report to the office from which you received the consent letter, in order that appropriate action may be taken.
4. All correspondence or inquiries in connection with this matter should be directed to the office from which you received the consent letter.

PERSONNEL SECURITY QUESTIONNAIRE

TO BE COMPLETED BY GOVERNMENT AGENCY

CLASSIFICATION

☒ UNCLASSIFIED ☐ RESTRICTED
☐ CONFIDENTIAL

☐ ROUTING
☐ SPECIAL

TO (Government agency) (If not, state the result of an investigation)

TO BE COMPLETED BY EMPLOYEE

1. LAST NAME FIRST NAME MIDDLE NAME

SUMMERFIELD, MARION, -

2. ALIAS (Include maiden name, if married woman)

NONE

3. DATE OF BIRTH

OCT. 20, 1906

4. PLACE OF BIRTH (City, State, and Country)

NEW YORK CITY, N.Y., U.S.A.

5. SOCIAL SECURITY NO.

571-16-6765

6. MARITAL STATUS

☐ SINGLE

☒ MARRIED

☐ SEPARATED

☐ WIDOWED

☐ DIVORCED

7. SEX

☒ MALE

☐ FEMALE

8. RACE

WHITE

9. HEIGHT

5'7"

10. WEIGHT

170#

11. COLOR EYES

Brown

12. COLOR HAIR

Brown

13. NAME AND LOCATION OF SCHOOL LAST ATTENDED

CALIFORNIA INSTITUTE OF TECHNOLOGY, PASADENA, CAL.

14. FROM (Date)

9/36

15. TO (Date)

6/41

16. ADDRESSES (List all home addresses for past 10 years, starting with present address)

CITY AND STATE

624 EATON DRIVE, PASADENA, CAL

2006 OAK STREET, SO. PASADENA, CAL

77 SO. ROOSEVELT AVE, PASADENA, CAL.

314 SO. MICHIGAN AVE., PASADENA, CAL.

671 SO. CATALINA AVE., PASADENA, CAL.

FROM (Date)

3/47

TO (Date)

PRESENT

10/46

3/47

10/45

10/46

ABOUT 1939

10/45

9/36

ABOUT 1939

17.

MILITARY SERVICE

COUNTRY

NONE

FROM (Date)

TO (Date)

SELECTIVE SERVICE DATA

18. DRAFT BOARD NUMBER AND ADDRESS

NONE (WORLD WAR II REGISTRATION EXPIRED)

19. ORDER NO.

20. CLASSIFICATION

CITIZENSHIP

21. U. S. CITIZEN

☒ YES ☐ NO

22. NATURALIZED

☐ YES ☐ NO

23. DATE OF NATURALIZATION

24. CERTIFICATE NO.

25. CITY, COUNTY, AND STATE WHERE NATURALIZED

26. COURT

27. PORT OF ENTRY (If alien)

28. DATE OF ENTRY INTO U. S.

29. ALIEN REGISTRATION NO.

FOREIGN COUNTRIES VISITED SINCE 1930

NAME OF COUNTRY

DATE LEFT U. S.

DATE RETURNED TO U. S.

PURPOSE

NONE EXCEPT OCCASIONAL
1 TO 3 DAY MOTOR TRIPS TO
TIJUANA, MEX., JUAREZ, MEX.,
AND VICTORIA, B.C., CANADA.

30.

ORGANIZATION MEMBERSHIPS (Fraternal, social, and religious; membership in labor unions should not be listed)

NAME AND ADDRESS

TYPE

OFFICE HELD

FROM

TO

F.D.R. DEMOCRATIC CLUB OF PASADENA

POLITICAL

MEMBER OF
BOARD OF
DIRECTORS

1945

PRESENT

(5 OR 6 PROFESSIONAL SCIENTIFIC SOCIETIES
NOT REQUESTED ABOVE.)

WD AGO FORM 19-105

Edition of 7 Oct 1944 may be used.

16-51900-1

Budget Bureau No. 49-R0361,
Approval expires 31 Dec 1948.

12. RELATIVES (Parents, spouse, brothers, sisters, even if deceased)				
RELATION	NAME	ADDRESS	COUNTRY OF BIRTH	PRESENT CITIZENSHIP
FATHER	JACOB SUMMERFIELD	DECEASED (1920)	POLAND	WAS U.S.A.
MOTHER	AUGUSTA SUMMERFIELD	DECEASED (1929)	RUSSIA	WAS U.S.A.
WIFE	EILEEN SUMMERFIELD	624 EATON DR., PASADENA	RUSSIA	U.S.A.

13. EMPLOYMENT (Show every employment you have had since 1935 and account for all periods of unemployment. If a Personnel Security Questionnaire was filled out, indicate this fact by a mark in the column headed "PSQ".)

POSITION HELD	NAME OF EMPLOYER AND IMMEDIATE SUPERVISOR	ADDRESS	PSQ	FROM (Date)	TO (Date)
DIVISION CHIEF	CALIFORNIA INSTITUTE OF TECH. DR. LOUIS G. DUNN JET PROPULSION LABORATORY.	PASADENA, CAL.	YES	10/45	PRESENT
DEVELOPMENT ENGINEER AND VICE-PRESIDENT IN CHARGE OF ENGINEERING	AEROJET ENGINEERING CORP. (1) ANDREW G. HALEY, 1943-45 (2) DAN A. KIMBALL, 3/45 - 9/45 (DURING THE PERIOD OF EMPLOYMENT AT AEROJET I WAS EMPLOYED PART-TIME AT CALIF. INST. OF TECH.)	AZUSA, CAL.	?	3/43	9/45
ASSISTANT CHIEF ENGINEER	CALIFORNIA INSTITUTE OF TECH. DR. FRANK J. MALINA AIR CORPS JET PROPULSION RESEARCH PROJECT	PASADENA, CAL.	?	7/40	3/43
TEACHING FELLOW	CALIFORNIA INSTITUTE OF TECH. PROF. E. C. WATSON	PASADENA, CAL.	NO	9/36	6/40

14. REFERENCES (Name three citizens, not relatives or employers, who have known you for 10 years)

NAME	ADDRESS	YEARS KNOWN
MR. T. EDWARD BEEHAN	3094 LA CORONA AV, ALTADENA, CAL.	5
MR. ANDREW G. HALEY	560 So. ORANGE GROVE AV., PASADENA, CAL.	6 or 7
DR. I. EUGENE GOULD	2600 No. FOOTHILL BLVD., ALTADENA, CAL.	ABOUT 5 TO 7

TO BE COMPLETED BY A RESPONSIBLE REPRESENTATIVE OF THE EMPLOYER OR GOVERNMENT AGENCY REQUESTING THE INVESTIGATION

15. NAME OF EMPLOYER (If a subsidiary, include name of parent company)	16. ADDRESS
------------------------------------------------------------------------	-------------

17. DESCRIPTION OF EMPLOYEE'S DUTIES (If employee will have access to classified material, so state)

Chief of Rocket & Jet Propulsion Research Division required to have access to Secret & Top Secret material

I CERTIFY the above to be true to the best of my knowledge and belief

18. DATE	19. SIGNATURE OR MARK OF EMPLOYEE	20. SIGNATURE AND TITLE OF APPROVING AUTHORITY
9/10/48	<i>Martin Summerfield</i>	<i>[Signature]</i>

PERSONNEL SECURITY QUESTIONNAIRE

DATE **9/10/48** MY AREA **Sixth Army**

TO BE COMPLETED BY GOVERNMENT AGENCY

Prepare in triplicate. Type or print all answers. If more space is required, attach additional sheets identifying by corresponding block numbers. PENALTY FOR MISREPRESENTATION.

CLASSIFICATION
☐ UNCLASSIFIED ☐ RESTRICTED ☐ ROUTING
☐ CONFIDENTIAL ☐ SPECIAL

TO (Government agency with which to receive the result of any investigation)

Los Angeles Ordnance District, 35 No. Raymond, Pasadena 1, California

TO BE COMPLETED BY EMPLOYEE

1. LAST NAME, FIRST NAME, MIDDLE INITIAL
Summerfield, Martin

2. ALIAS (Include maiden name, if married woman)
None

3. DATE OF BIRTH
10/21/16

4. PLACE OF BIRTH (City, State, and country)
New York City, New York

5. SOCIAL SECURITY NO.
571-16-6763

6. MARITAL STATUS
☒ SINGLE
☐ MARRIED ☐ SEPARATED
☐ WIDOWED ☐ DIVORCED

7. SEX
☒ MALE
☐ FEMALE

8. RACE
White

9. HEIGHT
5'7"

10. WEIGHT
170

11. COLOR EYES
Brown

12. COLOR HAIR
Brown

13. NAME AND LOCATION OF SCHOOL LAST ATTENDED
California Institute of Technology, Pasadena, California

14. FROM (Date)
9/1936

15. TO (Date)
6/1941

16. ADDRESSES (List all home addresses for past 10 years, starting with present address)

STREET, CITY, AND STATE

624 Eaton Drive, Pasadena, California
2006 Oak Street, So. Pasadena, California
77 So. Roosevelt Ave., Pasadena, California
314 So. Michigan Ave., Pasadena, California
671 So. Catalina Ave., Pasadena, California

FROM (Date)

3-1941
10-1946
10-1943
1939
9-1936

TO (Date)

Present
3-1947
10-1946
10-1943
1939

17. MILITARY SERVICE

COUNTRY

FROM (Date)

TO (Date)

None

SELECTIVE SERVICE DATA

18. DRAFT BOARD NUMBER AND ADDRESS
None

19. ORDER NO.

20. CLASSIFICATION

CITIZENSHIP

21. U. S. CITIZEN
☒ YES ☐ NO

22. NATURALIZED
☐ YES ☒ NO

23. DATE OF NATURALIZATION

24. CERTIFICATE NO.

25. CITY, COUNTY, AND STATE WHERE NATURALIZED

26. COURT

27. PORT OF ENTRY (if alien)

28. DATE OF ENTRY INTO U. S.

29. ALIEN REGISTRATION NO.

30. FOREIGN COUNTRIES VISITED SINCE 1930

NAME OF COUNTRY

DATE LEFT U. S.

DATE RETURNED TO U. S.

PURPOSE

None except occasional 1 to 3 day motor trips to Tijuana, Mexico, Juarez, Mexico and Victoria, B. C., Canada

31. ORGANIZATION MEMBERSHIPS (Internal, social, and religious membership in labor unions should not be listed)

NAME AND ADDRESS

TYPE

OFFICE HELD

FROM

TO

F. D. R. Democratic Club of Pasadena

Political

1945 Present

(5 or 6 Professional scientific societies)

RELATION	NAME	ADDRESS	COUNTRY OF BIRTH	PRESENT CITIZENSHIP
Father	Jacob Summerfield	Deceased (1920)	Poland	USA (was)
Mother	Augusta Summerfield	Deceased (1929)	Russia	USA (was)
Wife	Kileen Summerfield	624 Eaton Drive, Pasadena, California	Russia	USA

23. EMPLOYMENT (Show every employment you have had since 1935 and account for all periods of unemployment. If a Personnel Security Questionnaire was filed out, indicate this fact by a mark in the column headed "PSQ")

POSITION HELD	NAME OF EMPLOYER AND IMMEDIATE SUPERVISOR	ADDRESS	PSQ	FROM (Date)	TO (Date)
Division Chief	Jet Propulsion Laboratory	Pasadena 2, California	Yes	10/45	Present
Development Engr. & Vice Pres. in charge	Aerojet Engr. Corp.	Azusa, California		3/43	9/45
Asst. Chief Engr.	Calif. Inst. of Tech.	Pasadena, California		7/40	3/43
Teaching Fellowship	Calif. Inst. of Tech.	Pasadena, California		9/36	6/40

24. REFERENCES (Name three citizens, not relatives or employers, who have known you for 10 years)

NAME	ADDRESS	YEARS KNOWN
Mr. F. Edward Beehan	3095 La Corona Ave., Altadena, Calif.	5
Mr. Andrew G. Halsey	560 So. Orange Grove Ave., Pasadena, Cal.	7
Dr. I. Eugene Gould	2600 No. Foothill Blvd., Altadena, Cal.	7

TO BE COMPLETED BY A RESPONSIBLE REPRESENTATIVE OF THE EMPLOYER OR GOVERNMENT AGENCY REQUESTING THE INVESTIGATION

25. NAME OF EMPLOYER (If a subsidiary, include name of parent company)	26. ADDRESS
Jet Propulsion Laboratory	4800 Oak Grove Drive, Pasadena, California

27. DESCRIPTION OF EMPLOYEE'S DUTIES (If employee will have access to classified material, so state)

Material.

Chief of Rockets & Materials Division required to have access to Secret & Top Secret/

I CERTIFY the above to be true to the best of my knowledge and belief

28. DATE	29. SIGNATURE OR MARK OF EMPLOYEE	30. SIGNATURE AND TITLE OF APPROVING AUTHORITY
9/10/46	Martin Summerfield	[Signature] Personnel Administrator